

Central extensions of restricted Lie superalgebras and classification of p -nilpotent Lie superalgebras in dimension 4

Quentin Ehret

Non-associative Algebras, Representations, and Applications

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Joint work with Sofiane Bouarroudj

جامعة نيويورك أبوظبي



- 1 Preliminaries
- 2 Restricted cohomology and central extensions
 - A (very) brief history of restricted cohomology
 - Restricted cohomology for restricted Lie superalgebras
 - Central extensions of restricted Lie superalgebras
- 3 Classification of low dimensional restricted Lie superalgebras
 - A brief history of classification of restricted Lie algebras
 - Dimension 3
 - Dimension 4

Restricted Lie algebras

Definition (Jacobson)

A **restricted Lie algebra** is a Lie algebra L equipped with a map $(\cdot)^{[p]} : L \rightarrow L$ satisfying for all $x, y \in L$ and for all $\lambda \in \mathbb{K}$:

$$\textcircled{1} (\lambda x)^{[p]} = \lambda^p x^{[p]};$$

$$\textcircled{2} [x, y^{[p]}] = \overbrace{[[\cdots [x, y], y], \cdots, y]}^{p \text{ terms}};$$

$$\textcircled{3} (x + y)^{[p]} = x^{[p]} + y^{[p]} + \sum_{i=1}^{p-1} s_i(x, y),$$



Nathan Jacobson (1910-1999)

with $s_i(x, y)$ the coefficient of Z^{i-1} in $\text{ad}_{Z_{x+y}}^{p-1}(x)$. Such a map $(-)^{[p]} : L \rightarrow L$ is called p -map.

Example: any associative algebra A with $[a, b] = ab - ba$ and $a^{[p]} = a^p, \forall a, b \in A$.

Restricted Lie algebras

Definition

A Lie algebra morphism $f : (L, [\cdot, \cdot], (\cdot)^{[p]}) \rightarrow (L', [\cdot, \cdot]', (\cdot)^{[p]'})$ is called **restricted** if

$$f(x^{[p]}) = f(x)^{[p]'}, \quad \forall x \in L.$$

A L -module M is called **restricted** if

$$x^{[p]} \cdot m = \left(\overbrace{x \cdot (x \cdots (x \cdot m) \cdots)}^{p \text{ terms}} \right), \quad \forall x \in L, \quad \forall m \in M.$$

Restricted Lie superalgebras

Definition (Restricted Lie superalgebra)

A **restricted Lie superalgebra** is a Lie superalgebra $L = L_{\bar{0}} \oplus L_{\bar{1}}$ such that

- 1 The even part $L_{\bar{0}}$ is a restricted Lie algebra;
- 2 The odd part $L_{\bar{1}}$ is a Lie $L_{\bar{0}}$ -module;
- 3 $[x, y^{[p]}] = [\underbrace{[\dots[x, y], y], \dots, y}]_{p \text{ terms}}, \forall x \in L_{\bar{1}}, y \in L_{\bar{0}}$.

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We can define a map $(\cdot)^{[2p]} : L_{\bar{1}} \rightarrow L_{\bar{0}}$ by

$$x^{[2p]} = (x^2)^{[p]}, \text{ with } x^2 = \frac{1}{2}[x, x], x \in L_{\bar{1}}.$$

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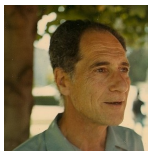
Theorem (Jacobson)

Let $(e_j)_{j \in J}$ be a basis of $L_{\bar{0}}$, and let the elements $f_j \in L_{\bar{0}}$ be such that $(\text{ad}_{e_j})^p = \text{ad}_{f_j}$. Then, there exists exactly one $p|2p$ -mapping $(\cdot)^{[p|2p]} : L \rightarrow L$ such that

$$e_j^{[p]} = f_j \quad \text{for all } j \in J.$$

A (very) brief history of restricted cohomology

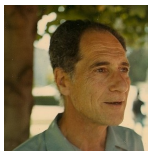
- 1955 (Hochschild): $H_*^n(L, M) := \text{Ext}_{U_\rho(L)}^n(\mathbb{F}, M)$.



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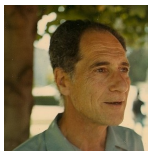
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Tyler J. Evans



Dmitry B. Fuchs

- 2020 (Yuan-Chen-Cao): attempt to generalize to the superalgebras case.

Restricted cohomology for restricted Lie superalgebras

Let $L = L_{\bar{0}} \oplus L_{\bar{1}}$ be a restricted Lie superalgebra and let M be a L -module.

We set $C_*^0(L, M) = M$ and $C_*^1(L, M) = \text{Hom}(L, M)$.

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Definition (Restricted 2-cochains)

Let $\varphi \in C_{CE}^2(L, M)$ (ordinary Chevalley-Eilenberg 2-cochain) and $\omega : L \rightarrow M$.
Then ω is **φ -compatible** if

$$\textcircled{1} \quad \omega(\lambda x) = \lambda^p \omega(x), \quad \forall \lambda \in \mathbb{F}, \quad \forall x \in L_{\bar{0}};$$

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② $\omega(x + y) = \omega(x) + \omega(y) +$

$$\sum_{\substack{x_i=x \text{ or } y \\ x_1=x, x_2=y}} \frac{1}{\#(x)} \sum_{k=0}^{p-2} (-1)^k x_p \cdots x_{p-k+1} \varphi([[\cdots [x_1, x_2], x_3] \cdots, x_{p-k-1}], x_{p-k}),$$

with $x, y \in L_{\bar{0}}$, $\#(x)$ the number of factors x_i equal to x .

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with $x, y \in L_{\bar{0}}$, $\#(x)$ the number of factors x_i equal to x .

$$C_*^2(L, M) := \{(\varphi, \omega), \varphi \in C_{CE}^2(L, M), \omega \text{ is } \varphi\text{-compatible}\}$$

\rightsquigarrow We have a similar (although more complicated) definition for $C_*^3(L, M)$.

Restricted cohomology for restricted Lie superalgebras

For $(\varphi, \omega) \in C_*^2(L; M)$, we write

$$(\varphi, \omega) = (\varphi_{\bar{0}}, \omega_{\bar{0}}) + (\varphi_{\bar{1}}, \omega_{\bar{1}}), \text{ where } \text{Im}(\omega_{\bar{j}}) \subseteq M_{\bar{j}}. \quad (1)$$

Observe that also $(\varphi_{\bar{j}}, \omega_{\bar{j}}) \in C_*^2(L; M)$, thanks to the φ -compatibility.

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In the sequel we will define the maps

$$0 \longrightarrow C_*^0(L, M) \xrightarrow{d_*^0} C_*^1(L, M) \xrightarrow{d_*^1} C_*^2(L, M) \xrightarrow{d_*^2} C_*^3(L, M).$$

$(=C_{CE}^0(L, M))$ $(=C_{CE}^1(L, M))$

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$(=C_{CE}^0(L, M))$ $(=C_{CE}^1(L, M))$

First, we take $d_*^0 := d_{CE}^0$.

Restricted cohomology for restricted Lie superalgebras

Definition of the map $d_*^1 : C_*^1(L, M) \longrightarrow C_*^2(L, M)$.

An element $\varphi \in C_*^1(L; M)$ induces a map $\text{ind}^1(\varphi) : L_{\bar{0}} \rightarrow M$ given by

$$\text{ind}^1(\varphi)(x) = \varphi(x^{[p]}) - x^{p-1}\varphi(x).$$

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Theorem (Evans-Fuchs)

1 The map $\text{ind}^1(\varphi)$ is $d_{CE}^1\varphi$ -compatible. Therefore,

$$d_*^1(\varphi) := (d_{CE}^1\varphi, \text{ind}^1(\varphi)) \in C_*^2(L; M).$$

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③ *The space $H_*^1(L; M) := \text{Ker}(d_*^1) / \text{Im}(d_*^0)$ is well defined.*

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An element $(\varphi, \omega) \in C_*^2(L; M)$ induces a map $\text{ind}^2(\varphi, \omega) : L \times L_{\bar{0}} \rightarrow M$ defined by

$$\begin{aligned} \text{ind}^2(\varphi, \omega)(x, y) = & \varphi(x, y^{[p]}) - \sum_{i+j=p-1} (-1)^i y^i \varphi([\underbrace{\cdots [x, y], \cdots}_{j \text{ terms}}, y], y) \\ & + (-1)^{|\varphi||x|} x \omega(y), \end{aligned}$$

for $\text{Im}(\omega) \subseteq M_{|\varphi|}$, and then extended using (1).

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Theorem (Bouarroudj-E.)

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- 3 The space $H_*^2(L; M) := \text{Ker}(d_*^2) / \text{Im}(d_*^1)$ is well defined.

Example of computation

An example. Consider the Lie superalgebra

$$L = \langle e_1 | e_2, e_3 \rangle, \quad [e_1, e_2] = e_3, \quad e_1^{[p]} = 0.$$

Let $\varphi \in C_{CE}^2(L; L)$. A map $\omega : L_{\bar{0}} \rightarrow L$ is φ -compatible if and only if

$$\omega(\lambda x) = \lambda^p \omega(x) \text{ and } \omega(x + y) = \omega(x) + \omega(y), \quad \forall x, y \in L_{\bar{0}}, \quad \forall \lambda \in \mathbb{K}. \quad (2)$$

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Lemma

A basis for the Chevalley-Eilenberg 2-cocycles space $Z_{CE}^2(L; L)$ is given by

$$\begin{aligned} \varphi_1 &= e_1 \otimes \Delta_{1,2} + 2e_2 \otimes \Delta_{2,2}; & \varphi_2 &= -2e_1 \otimes \Delta_{1,3} + 2e_3 \otimes \Delta_{3,3}; \\ \varphi_3 &= e_2 \otimes \Delta_{1,2}; & \varphi_4 &= e_2 \otimes \Delta_{1,3}; \\ \varphi_5 &= 2e_2 \otimes \Delta_{2,2} + e_3 \otimes \Delta_{2,3}; & \varphi_6 &= e_3 \otimes \Delta_{1,2}; \\ \varphi_7 &= e_3 \otimes \Delta_{1,3}; & \varphi_8 &= e_3 \otimes \Delta_{2,2}, \end{aligned}$$

where $\Delta_{i,j}(e_k, e_l) = \delta_{i,k} \delta_{j,l}$ and $\Delta_{i,j} = -(-1)^{|e_i||e_j|} \Delta_{j,i}$.

Example of computation ($p > 3$)

The case where $p > 3$. Let $(\varphi, \omega) \in C_*^2(L; L)$.

Then,

- 1 $(\varphi, \omega) \in Z_*^2(L; L)$ if and only if $\varphi \in Z_{CE}^2(L; L)$ and $\omega(e_1) = \gamma e_3$, $\gamma \in \mathbb{K}$;

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- 4 $\text{ind}^1(\psi) = 0$, $\forall \psi \in C_*^1(L; L)$.

Therefore, we have

$$H_*^2(L; L) = \text{Span}\{(\varphi_1, 0); (\varphi_2, 0); (\varphi_3, 0); (\varphi_4, 0); (0, \omega_5)\},$$

where $\omega_5(e_1) = e_3$.

Example of computation ($p = 3$)

The case where $p = 3$. Let $(\varphi, \omega) \in C_*^2(L; L)$. Suppose that

$$\omega(e_1) = \gamma_1 e_1 + \gamma_2 e_2 + \gamma_3 e_3, \quad \gamma_1, \gamma_2, \gamma_3 \in \mathbb{K}.$$

Then,

① $\forall \varphi \in Z_{CE}^2(L; L)$, we have $\text{ind}^2(\varphi, \omega)(e_1, e_1) = \gamma_2 e_3$.

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Then,

- 1 $\forall \varphi \in Z_{CE}^2(L; L)$, we have $\text{ind}^2(\varphi, \omega)(e_1, e_1) = \gamma_2 e_3$.
- 2 For $i \neq 4$, we have $\text{ind}^2(\varphi_i, \omega)(e_2, e_1) = \gamma_1 e_3$.
- 3 For $i = 4$, $\text{ind}^2(\varphi_4, \omega)(e_2, e_1) = (1 - \gamma_1) e_3$.

Therefore,

$$H_*^2(L; L) = \text{Span}\{(\varphi_1, 0); (\varphi_2, 0); (\varphi_3, 0); (\varphi_4, \omega_4); (0, \omega_5)\},$$

where $\omega_4(e_1) = e_1$ and $\omega_5(e_1) = e_3$.

A subcomplex

Let L be a restricted Lie superalgebra and M a restricted L -module. We define a subspace $C_*^2(L; M)^+ \subset C_*^2(L; M)$ by

$$C_*^2(L; M)^+ := \left\{ (\varphi, \omega) \in C_*^2(L; M), \operatorname{Im}(\omega) \subseteq M_{\bar{0}} \right\}.$$

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Lemma

- (i) We have an inclusion $B_*^2(L; M)_{\bar{0}} \subset C_*^2(L; M)^+$.
- (ii) The space $C_*^2(L; M)^+$ is \mathbb{Z}_2 -graded and the degree of a homogeneous element $(\varphi, \omega) \in C_*^2(L; M)^+$ is given by $|(\varphi, \omega)| = |\varphi|$.

This Lemma allows us to consider the space $Z_*^2(L; M)^+ := \ker(d_{*|C_*^2(L; M)^+}^2)$. Thus we can define

$$H_*^2(L; M)^+ := Z_*^2(L; M)^+ / B_*^2(L; M)_{\bar{0}}.$$

The space $H_*^2(L; M)^+$ is \mathbb{Z}_2 -graded.

Central extensions of restricted Lie superalgebras

Let $(L, [\cdot, \cdot], (\cdot)^{[\rho]})$ be a restricted Lie superalgebra, and M be a strongly abelian restricted Lie superalgebra (i.e., $[m, n] = 0 \forall m, n \in M$, and $m^{[\rho]} = 0 \forall m \in M_0$).

A **restricted extension** of L by M is a short exact sequence of restricted Lie superalgebras

$$0 \longrightarrow M \xrightarrow{\iota} E \xrightarrow{\pi} L \longrightarrow 0.$$

Central extensions of restricted Lie superalgebras

Let $(L, [\cdot, \cdot], (\cdot)^{[\rho]})$ be a restricted Lie superalgebra, and M be a strongly abelian restricted Lie superalgebra (i.e., $[m, n] = 0 \forall m, n \in M$, and $m^{[\rho]} = 0 \forall m \in M_0$).

A **restricted extension** of L by M is a short exact sequence of restricted Lie superalgebras

$$0 \longrightarrow M \xrightarrow{\iota} E \xrightarrow{\pi} L \longrightarrow 0.$$

In the case where $\iota(M) \subset \mathfrak{z}(E) := \{a \in E, [a, b] = 0 \forall b \in E\}$, M is a trivial L -module. These extensions are called **restricted central extensions**.

Two restricted central extensions of L by M are called **equivalent** if there is a restricted Lie superalgebras morphism $\sigma : E_1 \rightarrow E_2$ such that the following diagram commutes:

$$\begin{array}{ccccccc} & & & E_1 & & & \\ & & \nearrow \iota_1 & \downarrow \sigma & \searrow \pi_1 & & \\ 0 & \longrightarrow & M & & L & \longrightarrow & 0. \\ & & \searrow \iota_2 & \downarrow \sigma & \nearrow \pi_2 & & \\ & & & E_2 & & & \end{array}$$

Central extensions of restricted Lie superalgebras

$$0 \longrightarrow M \xrightarrow{\iota} E \xrightarrow{\pi} L \longrightarrow 0.$$

Theorem (Bouarroudj-E.)

Let L be a restricted Lie superalgebra and M a strongly abelian restricted Lie superalgebra. Then, the equivalence classes of restricted central extensions of L by M are classified by $H_^2(L; M)_{\bar{0}}^+$.*

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Let L be a restricted Lie superalgebra and M a strongly abelian restricted Lie superalgebra. Then, the equivalence classes of restricted central extensions of L by M are classified by $H_*^2(L; M)_0^+$.

Structure maps on E . Let $(\varphi, \omega) \in Z_*^2(L; M)_0^+$. The bracket and the p -maps on E are given by

$$[x + m, y + n]_E := [x, y] + \varphi(x, y), \quad \forall x, y \in L, \forall m, n \in M; \quad (3)$$

$$(x + m)^{[p]}_E := x^{[p]} + \omega(x), \quad \forall x \in L_0, \forall m \in M_0. \quad (4)$$

A brief history of classification of restricted Lie algebras



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Proposition

Let L be a p -nilpotent restricted Lie superalgebra of dimension n . Then, L is isomorphic to a central extension by a restricted 2-cocycle of a p -nilpotent restricted Lie superalgebra of dimension $n - 1$.

Dimension 3

- $\text{sdim}(L) = (1|2)$: $L = \langle e_1 | e_2, e_3 \rangle$.

① $\mathbf{L}_{1|2}^1 = \langle e_1 | e_2, e_3 \rangle$ (abelian):

① $e_1^{[\rho]} = 0$;

② $\mathbf{L}_{1|2}^2 = \langle e_1 | e_2, e_3; [e_2, e_3] = e_1 \rangle$:

① $e_1^{[\rho]} = 0$;

③ $\mathbf{L}_{1|2}^3 = \langle e_1 | e_2, e_3; [e_1, e_2] = e_3 \rangle$:

① $e_1^{[\rho]} = 0$.

④ $\mathbf{L}_{1|2}^4 = \langle e_1 | e_2, e_3; [e_3, e_3] = e_1 \rangle$:

① $e_1^{[\rho]} = 0$;

- $\text{sdim}(L) = (2|1)$: $L = \langle e_1, e_2 | e_3 \rangle$.

① $\mathbf{L}_{2|1}^1 = \langle e_1, e_2 | e_3 \rangle$ (abelian):

① $e_1^{[\rho]} = e_2^{[\rho]} = 0$;

② $e_1^{[\rho]} = e_2, e_2^{[\rho]} = 0$.

② $\mathbf{L}_{2|1}^2 = \langle e_1, e_2 | e_3; [e_3, e_3] = e_2 \rangle$:

① $e_1^{[\rho]} = e_2^{[\rho]} = 0$;

② $e_1^{[\rho]} = e_2, e_2^{[\rho]} = 0$.

- $\text{sdim}(L) = (3|0)$: $L = \langle e_1, e_2, e_3 \rangle$, (see Schneider-Usefi).

① $\mathbf{L}_{3|0}^1 = \langle e_1, e_2, e_3 \rangle$ (abelian):

① $e_1^{[\rho]} = e_2^{[\rho]} = e_3^{[\rho]} = 0$;

② $e_1^{[\rho]} = e_2, e_2^{[\rho]} = e_3^{[\rho]} = 0$;

③ $e_1^{[\rho]} = e_2, e_2^{[\rho]} = e_3, e_3^{[\rho]} = 0$.

② $\mathbf{L}_{3|0}^2 = \langle e_1, e_2, e_3; [e_1, e_2] = e_3 \rangle$

① $e_1^{[\rho]} = e_2^{[\rho]} = e_3^{[\rho]} = 0$;

② $e_1^{[\rho]} = e_3, e_2^{[\rho]} = e_3^{[\rho]} = 0$.

The classification method

- 1 For each 3-dimensional Lie superalgebra of the previous list, we compute the equivalence classes of non-trivial *ordinary* 2-cocycles under the action by automorphisms given by

$$(A\varphi)(x, y) = \varphi(A(x), A(y)), \quad \forall x, y \in L \quad (5)$$

- 2 We build the corresponding central extensions.
- 3 Some of the superalgebras obtained are isomorphic. We detect and remove redundancies.
- 4 Using Jacobson's Theorem, we check whether the p -maps on the even part are compatible with the odd part.

Dimension 4: the classification. Lie superalgebras.

Theorem

The classification of 4-dimensional nilpotent Lie superalgebras over an algebraically closed field of characteristic different from 2 is given by:

$$\underline{\text{sdim}(L) = (0|4)}: L = \langle 0|x_1, x_2, x_3, x_4 \rangle$$

$$\mathbf{L}_{0|4}^1: [\cdot, \cdot] = 0.$$

$$\underline{\text{sdim}(L) = (1|3)}: L = \langle x_1|x_2, x_3, x_4 \rangle$$

$$\mathbf{L}_{1|3}^1: \text{abelian};$$

$$\mathbf{L}_{1|3}^2: [x_1, x_3] = x_4;$$

$$\mathbf{L}_{1|3}^3: [x_2, x_3] = x_1;$$

$$\mathbf{L}_{1|3}^4: [x_1, x_2] = x_3, [x_1, x_3] = x_4;$$

$$\mathbf{L}_{1|3}^5: [x_3, x_3] = x_1;$$

$$\mathbf{L}_{1|3}^6: [x_2, x_2] = x_1, [x_3, x_4] = x_1.$$

$$\underline{\text{sdim}(L) = (2|2)}: L = \langle x_1, x_2|x_3, x_4 \rangle$$

$$\mathbf{L}_{2|2}^1: \text{abelian};$$

$$\mathbf{L}_{2|2}^2: [x_3, x_4] = x_2;$$

$$\mathbf{L}_{2|2}^3: [x_3, x_3] = x_2, [x_3, x_4] = x_1;$$

$$\mathbf{L}_{2|2}^4: [x_3, x_3] = [x_4, x_4] = x_2, [x_3, x_4] = x_1;$$

$$\mathbf{L}_{2|2}^5: [x_1, x_3] = x_4;$$

$$\mathbf{L}_{2|2}^6: [x_1, x_3] = x_4, [x_3, x_3] = x_2.$$

$$\mathbf{L}_{2|2}^7: [x_4, x_4] = x_1.$$

$$\underline{\text{sdim}(L) = (3|1)}: L = \langle x_1, x_2, x_3|x_4 \rangle$$

$$\mathbf{L}_{3|1}^1: \text{abelian};$$

$$\mathbf{L}_{3|1}^2: [x_1, x_2] = x_3;$$

$$\mathbf{L}_{3|1}^3: [x_2, x_2] = x_3;$$

$$\mathbf{L}_{3|1}^4: [x_1, x_2] = [x_3, x_4] = x_3.$$

$$\underline{\text{sdim}(L) = (4|0)}: L = \langle x_1, x_2, x_3, x_4|0 \rangle$$

$$\mathbf{L}_{4|0}^1: \text{abelian};$$

$$\mathbf{L}_{4|0}^2: [x_1, x_2] = x_3;$$

$$\mathbf{L}_{4|0}^3: [x_1, x_2] = x_3, [x_1, x_3] = x_4.$$

Dimension 4: the classification. $p|2p$ maps.

Theorem

The p -nilpotent structures on nilpotent Lie superalgebras of total dimension 4 with $\dim(L_{\bar{1}}) > 0$ are given by:

- $\text{sdim}(L) = (0|4)$: none.
- $\text{sdim}(L) = (1|3)$: $x_1^{[p]} = 0$.
- $\text{sdim}(L) = (2|2)$:
 - ▶ $x_1^{[p]1} = x_2^{[p]1} = 0$;
 - ▶ $x_1^{[p]2} = x_2$, $x_2^{[p]2} = 0$.
- $\text{sdim}(L) = (3|1)$:
 - ▶ Case $L_{\bar{0}}$ abelian:
 - ★ $x_1^{[p]1} = x_2^{[p]1} = x_3^{[p]1} = 0$;
 - ★ $x_1^{[p]2} = x_2$, $x_2^{[p]2} = x_3^{[p]2} = 0$.
 - ★ $x_1^{[p]3} = x_2$, $x_2^{[p]3} = x_3$, $x_3^{[p]3} = 0$.
 - ▶ Case $L_{\bar{0}} \cong \mathbf{L}_{3|0}^2 = \langle x_1, x_2, x_3; [x_1, x_2] = x_3 \rangle$:
 - ★ $x_1^{[p]4} = x_2^{[p]4} = x_3^{[p]4} = 0$;
 - ★ $x_1^{[p]5} = x_3$, $x_2^{[p]5} = x_3^{[p]5} = 0$.

Thank you for your attention!

Main reference:

S. Bouarroudj, Q. Ehret, *Central extensions of restricted Lie superalgebras and classification of p -nilpotent Lie superalgebras in dimension 4*,

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